

NORTH HERTFORDSHIRE DISTRICT COUNCIL

DECISION SHEET

Meeting of the Finance, Audit and Risk Committee held in the Council Chamber,
Council Offices, Gernon Road, Letchworth Garden City
on Monday, 24th September, 2018 at 7.30 pm

1 APOLOGIES FOR ABSENCE

Apologies for absence were received from representatives of Ernst and Young.

2 MINUTES - 30 JULY 2018

The Chairman advised that Committee Services apologised that the Minutes for this meeting had not yet been published. They would be available for agreement at the next meeting of the Committee.

3 NOTIFICATION OF OTHER BUSINESS

There was no other business notified.

4 CHAIRMAN'S ANNOUNCEMENTS

- (1) The Chairman announced that Members of the public and the press may use their devices to film/photograph, or do a sound recording of the meeting, but he asked them to not use flash and to disable any beeps or other sound notifications that emitted from their devices.
- (2) The Chairman announced that, in accordance with Council policy this meeting was being audio recorded.
- (3) The Chairman reminded Members that, in line with the Code of Conduct, any Declarations of Interest needed to be declared immediately prior to the item in question.

5 PUBLIC PARTICIPATION

There was no public participation.

6 ANNUAL AUDIT LETTER FOR THE YEAR ENDED 31 MARCH 2018

RESOLVED: That the Annual Letter for the year ended 31 March 2018 be noted.

REASON FOR DECISION: To enable the Committee to consider the Annual Audit Letter for the year ended 31 March 2018.

7 SHARED ANTI FRAUD REPORT SERVICE (SAFS) REPORT

RESOLVED: That the work of the Council and the Shared Anti-Fraud Service in delivering the Anti-Fraud Action Plan 2017/18 be noted.

REASON FOR DECISION: To enable the Committee to consider the Shared Anti-Fraud Service Report for 2017/18 and receive and overview of the work being undertaken during 2018/19.

8 ANNUAL GOVERNANCE STATEMENT ACTION PLAN 2018/19 - PROGRESS REVIEW

RESOLVED:

- (1) That the progress made against the individual actions as outlined in the Action plan for 2018/19 at Appendix A be noted;
- (2) That the Senior Policy Officer be requested to circulate the Guidance to Councillors and Officers in respect of delegated Planning decisions to Members.

REASON FOR DECISION: The Annual Governance Statement must be considered by Members of the Committee and approved under Regulation 6(4)(a) of the Accounts and Audit Regulations ('AAR') 2015/234, before the Statement of Accounts.

9 SIAS - ANNUAL REPORT 2017/18

RESOLVED: That the Shared Anti-Fraud Service Annual Report 2017/18 be noted.

REASON FOR DECISION: To enable the Committee to consider the Shared Anti-Fraud Service Annual Report for 2017/18.

10 SIAS PROGRESS REPORT 2018/19

RESOLVED:

- (1) That the Shared Internal Audit Service Progress Report for the period to 7 September 2018 be noted;
- (2) That the proposed amendments to the 2018/19 Annual Audit Plan be noted;
- (3) That the implementation status of high priority recommendations be noted.

REASON FOR DECISION: To enable the Committee to consider the Shared Internal Audit Service Progress Report 2018/19.

11 RISK MANAGEMENT

RECOMMENDED TO CABINET:

- (1) That the Office Accommodation (TR51) risk be deleted;
- (2) That the new Waste parent risk (RRNEW1) be created, with a score of 9;
- (3) That nine Waste sub-risks (RR287, RR424, RR455, TR59, TR59.001, TR59.002, TR59.004, TR59.005 and TR59.006) be deleted;
- (4) That the Waste sub-risk for Sale of Recyclable Materials (TR59.007) be amended, to include an increase in the risk score from a 8 to a 9;
- (5) That the new Waste sub-risk for Route Optimisation of Collection Rounds (RRNEW2) be created, with a score of 7;
- (6) That in order to enable the Finance, Audit and Risk Committee and Cabinet to monitor the effective development and operation of risk management, all future reports and discussions regarding all Corporate risks should include more detail of ongoing work associated so that any the detail of any mitigation can be taken in to account when assessing risk.

REASON FOR DECISIONS: This Committee has responsibility to monitor the effective development and operation of risk management.

12 INTEGRATED CAPITAL AND TREASURY STRATEGY

RESOLVED: That the format and content of the draft Strategy attached as Appendix A be supported;

REASON FOR DECISION: To fulfil the Committee's terms of reference, to "assist the Council and the Cabinet in the development of its Budget and Policy Framework process by in-depth analysis of policy issues pertaining to finance, audit and risk".

13 FUTURE MEETING - POSSIBLE AGENDA ITEMS

The Chairman advised Members of the expected agenda items for the next meeting of the Committee.